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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application**

**Serial No.** 10/692,165  
**Applicants:** Alan Wu et al  
**Group Art Unit:** 3753  
**Filing Date:** October 23, 2003  
**Title:** LATERAL PLATE SURFACE COOLED HEAT EXCHANGER  
**Attorney Docket No.:** 60680-741

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet), as well as a copy of each non- United States reference listed thereon. In accordance with 37 C.F.R. § 1.98(a)(2)(iv), a copy of an International Search Report and Written Opinion obtained in connection with a corresponding application under the Patent Cooperation Treaty has been included herewith.

All of the references listed on the attached Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

EXECUTED at Toronto, Ontario, Canada, this 31 day of August 2004.

Respectfully submitted,

By:

David J. Greer  
Registration No. 43,395

Encl.  
Copies of non US references and Form PTO-1449  
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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): WU ET AL.

Docket No.

60,680-741

Application No.

10/692,165

Filing Date

10/23/2003

Examiner

UNASSIGNED

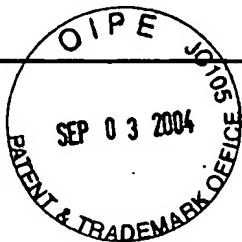
Customer No.

26127

Group Art Unit

3753

Invention: LATERAL PLATE SURFACE COOLED HEAT EXCHANGER



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ALESIA A. MUNGONS

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Sheet	1	of	1
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Application Number	10/692,165
Filing Date	10/23/2003
First Named Inventor	WU ET AL.
Art Unit	3753
Examiner Name	UNASSIGNED
Attorney Docket Number	60,680-741

SEP 03 2004

[illegible][illegible]

Date	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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